PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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## UTILITY **PATENT APPLICATION TRANSMITTAL**

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Attorne	y Docket No.	16869P026900		
First In	ventor	Sekiguchi, Shinji	ЪTС	
Title	COLOR FILTE	ER AND LIQUID CRYSTAL DISPLAY PROVIDED	7/	

Title	COLOR FILTER THEREWITH	AND LIQUID CRYSTAL DISPLAY PROVIDED	<u>-</u>
Evnres	e Mail Lahel No	EL 474208211LIS	_

(Only for ne	w nonprovisional	applications und	ler 37 C.F.R. §	1.53(b))	Express	s Mail L	abel No.	EL47	4298211US	9
0 44950		ICATION E			Al	DDR	ESS TO	) .	Box Patent	
See MPEP chapter 600 concerning design patent application contents.  1.						Washington, DC 20231  7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper number of pages  c. Statements verifying identity of above copies				
<ul> <li>Reference to sequence listing, a table, or a computer program listing appendix</li> </ul>				ACCOMPANYING APPLICATIONS PARTS						
- - -	Background of th Brief Summary of Brief Description Detailed Descript Claim(s) Abstract of the Di	the Invention of the Drawings ion	( if filed)		10.	9. Assignment Papers (cover sheet & document(s))  10. 37 C.F.R.§3.73(b)Statement Power of (when there is an assignee) Attorney				
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	rawing(s) (35 U Declaration	•	[Total Sheet [Total Pages		]   12. 1	. 🛛	Information Statemer		ciosure 3)/PTO-144	Copies of IDS Citations
	Newly execute			٠ ا			Prelimina	ıry An	nendment	
³b. □	Copy from a pi			3 (d))	. 14.	. 🛛				MPEP 503)
_	(for a continua	tion/divisional	with Box 18	completed)	15	M			cifically ite	
i. [		nt attached dele ior application, s	ting inventor(s	)		<ul> <li>15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)</li> <li>16.  Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35</li> </ul>				
or its equivalent  Application Data Sheet. See 37 CFR 1.76  17. Other:										
				ox, and sup	ply the r	equisit	e information	on bel	ow and in a	preliminary amendment,
or in an App ☐ Conti	olication Data Sh	<i>eet under 37 C</i> . □ Divisional		inuation-in-p	art (CIP)		of n	rior an	plication No	. ,
<del>_</del>	olication information	_	niner		u (U )		•	up Art	•	·
under Box 5	b, is considered	a part of the di	sclosure of th	не ассотра	nying co	ntinua	tion or divi	sional	application	th or declaration is supplied and is hereby incorporated by ubmitted application parts.
			19. C	ORRESPO	ONDEN	CE AD	DRESS			
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Name			-							
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City				State				Zip	Code	
Country			Telepi	hone					Fax	
Name (Print/Type) Chun-Pok Leung Re					Regis	Registration No. (Attorney/Agent) 41,405				41,405
Signature		1	706	Molo					Date	September 26, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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]	Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a.									
	ACCOMPANYING APPLICATIONS PARTS									
	9. 🛭 10. 🗌	Assignment Papers (cover sheet & document(s))  37 C.F.R.§3.73(b)Statement  Power of   (when there is an assignee)  Attorney								
	11. 🔲	English Translation Document (if applicable)								
]	12. 🛚	Information Disclosure								
•	13. 🔲	Preliminary Amendment								
	14. 🖾	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)								
d)	15. 🛛	Certified Copy of Priority Document(s)								
	16. 🗌	(if foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35								
	17. 🗌	or its equivalent Other:								
pply	the requisi	te information below and in a preliminary amendment,								
part	(CIP)	of prior application No:/ Group Art Unit:								
anyi	ng continu	application, from which an oath or declaration is supplied ation or divisional application and is hereby incorporated by divertently omitted from the submitted application parts.								
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	Zip Code									
		Fax								

PA 3172765 v1

## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

	Complete if Known	
Application Number	Unassigned	
Filing Date	September 26, 2001	
First Named Inventor	Sekiguchi, Shinji	
Examiner Name	Unassigned	
Group Art Unit	Unassigned	
Attorney Docket No.	16869P-026900US	

TOTAL AMOUNT OF PAYMENT	Attorney Docket No. 16869P-026900US				/		
METHOD OF PAY	FEE CALCULATION (continued)						
		3. ADD Large Fee	ITIONAL Entity Fee	FEES Small Fee	-		Fee
Deposit Account 20-1430		Code	(\$)	Code	(\$)	Fee Description	Paid
Number 20-1430		105	130	205	65	Surcharge - late filing fee or oath	
Deposit		127	50 .	227	25	Surcharge - late provisional filing fee or cover sheet.	
Account Townsend and Townser	nd and Crew LLP	139	130	139	130	Non-English specification	
Name		147	2,520	147	2,520	For filing a request for reexamination	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
Applicant claims small entity status. See 37 CFR 1.27		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. Payment Enclosed:		115	110	215	55	Extension for reply within first month	
	Money D Other	116	390	216	195	Extension for reply within second month	
* *************************************	Order	117	890	217	445	Extension for reply within third month	
FEE CALCULAT  1. BASIC FILING FEE	TON	118	1,390	218	695	Extension for reply within fourth month	
Large Entity Small Entity		128	1,890	228	945	Extension for reply within fifth month	
Fee Fee Fee Fee Desc		119	310	219	155	Notice of Appeal	
Code (\$) Code (\$)	Fee Paid	120	310	220	155	Filing a brief in support of an appeal	
101 710 201 355 Utility filing	g fee 710	121	270	221	135	Request for oral hearing	
106 320 206 160 Design fili 107 490 207 245 Plant filing		138	1,510	138	1,510	Petition to institute a public use proceeding	
108 710 208 355 Reissue fi		140	110	240	55	Petition to revive – unavoidable	
	al filing fee	141	1,240	241	620	Petition to revive - unintentional	
		142	1,240	242	620	Utility issue fee (or reissue)	
SUBTOTAL (1)	(\$)710	143	440	243	220	Design issue fee	
2. EXTRA CLAIM FEES		144	600	244	300	Plant issue fee	
Extra	Fee from Fee	122	130	122	130	Petitions to the Commissioner	
Otal Claims 21 -20** = 1	below Paid X \$18 = \$18	123	50	123	50	Petitions related to provisional applications	
ndependent 8 -3** = 5	X \$80 = \$400	126	180	126	180	Submission of Information Disclosure Stmt	
fultiple lependent	x =	581	40	581	40	Recording each patent assignment per property (times number of properties)	40
Large Entity Small Entity Fee Fee Fee Fee Fee Fee	na evintien	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$) Code (\$)	escription in excess of 20	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
102 80 202 40 Indepe	ndent claims in excess of 3	179	710	279	355	Request for Continued Examination (RCE)	
104 270 204 135 Multiple	e dependent claim, if not paid						$\square$
	sue independent claims over I patent	169	900	169	900	Request for expedited examination of a design application	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent			Other fee (specify)				
SUBTOTAL	The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.						
the second secon		*Reduc	ed by Ba	sic Filing	g Fee Pa	eid SUBTOTAL (3) (\$)40	
**or number previously paid, if greater; For Reissues, see above							

SUBMITTED BY	SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	Chun-Pok Leung	Registration No. (Attorney/Agent)	41,405	Telephone	650-326-2400				
Signature	1	CLON		Date	September 26, 2001				

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